



# New Member Integration

Compliance Agreements

# Agenda

- Introduction
- Agreements
- Coordinated Functional Registrations (CFRs)
- Navigation to Helpful Sites
- Closure

# MISO Compliance Agreements and Coordinated Functional Registrations (CFRS)

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# MISO Compliance Agreements

- Amended BAA Agreement – CFR00001 (BA Function)
  - Operating Protocols
- MISO CFR00143 Agreement (TP Function)
  - Operating Protocols
- Planning Coordination Agreement (TP Function)
- Coordinated Agreement TPL-007 MISO Majority (TP Function)
- Coordinated Agreement TPL-007 TP Majority (TP Function)
- CIP-012 Agreement – ICCP Connections between Control Centers

# What is a Coordinated Functional Registration (CFR)?

An arrangement between multiple entities registered for a NERC function to clearly identify and assign compliance responsibilities.



There are two roles within a CFR

- Lead registered entity (MISO)
- Participant registered entity



CFRs are submitted to ReliabilityFirst through the NERC ERO Portal for approval.

# CFR Responsibilities

- **Partial:** This indicates that there is a division of compliance responsibilities for a requirement between two or more entities (Lead and Signatory(ies)). In general, this requires that additional details or language must be provided to explain the actual delineation of responsibility between the Lead and Signatory Entities. Notes **MUST** be provided to specify what this partial responsibility is.
  - MISO and CFR Signatories have Operating Protocols for the BA CFR and the TP CFR. The Operating Protocols provide more detail on shared compliance responsibilities.
- **Full:** This indicates that the identified entity is taking full responsibility for the requirement for all signatory entities that are party to the CFR agreement. All other entities should have the responsibility of NONE.
- **Normal:** This indicates the identified entity (the lead or any of the signatories) has not transferred any responsibility for the requirement; they remain completely responsible for the requirement in its entirety, bounded by the scope of their existing functional registration.
- **N/A:** This indicates that the requirement, while accounted for in the CFR agreement, does not explicitly apply to the identified entity.
- **None:** This indicates that the identified entity has NO responsibility for the requirement under this CFR Agreement. Another entity must take on the FULL responsibility.

# Example CFR Matrix

	A	B	C	D	E	F	G
1	<b>CFR00143</b>						
2	Function: Transmission P	Effective Date: 01/01/20	Inactive Date	Regional CFR Admin: RF	CFR Point of Contact: Talia Boyne		tboyne@misoenergy.org
3							
4	STANDARD	EFFECTIVE DATE	INACTIVE DATE	REQUIREMENT	Midcontinent Independent	1803 Electric Cooperative, Inc	American Electric Power Serv
5	FAC-002-4	1/1/2024		R1	Full	None	None
6	FAC-002-4	1/1/2024		1.1.	Full	None	None
7	FAC-002-4	1/1/2024		1.2.	Partial	Partial	Partial
8	FAC-002-4	1/1/2024		1.3.	Partial	Partial	Partial
9	FAC-002-4	1/1/2024		1.4.	Full	None	None
10	FAC-014-3	4/1/2024		R6.	Full	None	None
11	FAC-014-3	4/1/2024		R7.	Full	None	None
12	FAC-014-3	4/1/2024		7.1.	Full	None	None
13	FAC-014-3	4/1/2024		7.2.	Full	None	None
14	FAC-014-3	4/1/2024		7.3.	Full	None	None
15	FAC-014-3	4/1/2024		7.4.	Full	None	None
16	FAC-014-3	4/1/2024		7.5.	Full	None	None
17	FAC-014-3	4/1/2024		R8.	Full	None	None
18	IRO-017-1	4/1/2017		R3.	Full	None	None
19	IRO-017-1	4/1/2017		R4.	Partial	Partial	Partial
20	MOD-026-1	7/1/2014		R1.	None	Normal	Normal
21	MOD-026-1	7/1/2014		R6.	None	Normal	Normal

- Delineates Balancing Authority responsibilities between MISO and its Local Balancing Authorities (LBAs). The general delineation of responsibilities is that MISO is responsible for the majority of the BA requirements including AGC, Balancing Control Performance, calculating ACE, Contingency Reserves, and frequency response while LBAs are responsible for tie-line metering and local emergency actions.
- Coordinated through the Balancing Authority Committee-Closed (BAC).

- Delineates Transmission Planner responsibilities for NERC standards TPL-001-5.1, IRO-017-1, FAC-002-4, and FAC-014-3 between MISO and its signing members. For those members who execute this CFR, MISO is responsible for the majority of the requirements within the standards, with the exception of requirements for performing short circuit assessments, steady state contingencies and developing Corrective Action Plans.
- Coordinated through the Transmission Owners Compliance Task Team-Closed (TOCTT) and the Planning Compliance Agreement Task Team-Closed (PCATT)

# Planning Coordination Agreement (PCA)

- MISO takes responsibility as the Planning Coordinator and signatory Transmission Planners take responsibility as the Transmission Planner. The responsibilities are for each Transmission Planner area for NERC standards TPL-001-5.1 and FAC-014-3.
- Coordinated through the Transmission Owners Compliance Task Team-Closed (TOCTT) and the Planning Compliance Agreement Task Team-Closed (PCATT)

# TPL-007-4 Agreements\*

- MISO Majority TPL-007 – MISO takes on majority of Transmission Planner requirements. This only relates to the Reliability Standard TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events or any successor thereto, as it becomes effective.
- Transmission Planner Majority TPL-007 – Transmission Planner responsible for majority of requirements. This only relates to the Reliability Standard TPL-007-4 – Transmission System Planned Performance for Geomagnetic Disturbance Events or any successor thereto, as it becomes effective.

\* Lines are 200Kv or above to sign the agreements.

# CIP-012 Agreement

- Agreement between Control Centers or Data Centers for protecting and transferring Real-Time Assessment and Monitoring Data. MISO supplies the physical equipment to transport the data.
- NERC registration is not required.

# Navigation to Helpful Sites

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# Helpful Links

- MISO Website

- [Compliance Corner](#)
- [Compliance FAQ](#)
- [Balancing Authority Committee \(BAC\)](#)
- [Transmission Owners Compliance Task Team \(TOCTT\)](#)
- [Planning Compliance Agreement Task Team \(PCATT\)](#)
- [Knowledge Article to Submit Requests to Restricted Content](#)

- Non-MISO Sites

- [NERC Registration](#)
- [ReliabilityFirst](#)
- [SERC](#)
- [MRO](#)

# Thank You!

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